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OC

(Andrés Caicedo speaks)

Thm 0: Assume κ is measurable (and GCH holds below κ). Then there is a forcing extension where $\kappa = \aleph_1$ is real-valued measurable and there is a Σ_2^1 wellordering of \mathbb{R} .

Def: (Ulam) κ is real-valued measurable (RVM(κ)) iff there is a κ -additive probability measure ν defined on all subsets of κ , vanishing on singletons. We call ν a witnessing probability.

- κ is 2-valued measurable $\iff \kappa$ is measurable (usual sense)
- κ is atomlessly measurable $\iff \nu$ can be taken to be non-atomic ($\forall A, \nu(A) > 0 \implies \exists B \subseteq A$ s.t. $\nu(A) > \nu(B) > 0$).

Thm 1: (Ulam) (A) RVM(κ) $\implies \kappa$ is either 2-valued or atomlessly measurable.
(B) If κ is atomlessly measurable, then $\kappa \leq \aleph_1$ is regular.

Proof:

(A) Trivial.

Say ν is a witness.

Case 1: ν has no atoms. Then done.

Case 2: ν has an atom A . (So $\nu(A) > 0$, but $B \subseteq A \implies \nu(B) = 0$ or $\nu(B) = \nu(A)$).

Then $\mathcal{U} = \{X \subseteq \kappa \mid \nu(A \setminus X) = 0\}$ is a κ -complete ultrafilter on κ . (B1)

(B) Suppose κ is atomlessly measurable, fix ν witness.

κ is regular:

Def: For ν a measure, $\mathcal{N}_\nu \triangleq \{X \mid \nu X = 0\}$ = ideal of ν -null sets.

ignore incompleteness complications

Notice $\text{add}(\mathcal{N}_\nu)$ is a regular cardinal.

But $\kappa \leq \text{add}(\mathcal{N}_\nu) \leq \kappa$, so κ is regular.

$\kappa \leq \aleph_1$:

Lemma: If ν is atomless, then $\forall A \in \mathcal{B} \subseteq \kappa$ s.t. $\nu B = \frac{\nu A}{2}$.

Proof:

Start with $A_0 = A$. Define a sequence A_α as long as possible:
s.t. $\nu(A_\alpha) \geq \frac{1}{2} \nu(A)$ all α
 $\nu(A_{\alpha+1}) < \nu(A_\alpha)$, $A_{\alpha+1} \subseteq A_\alpha$.

$A_\omega = \bigcap_{\alpha < \omega} A_\alpha$ for a limit.

If $\forall \alpha < \omega$, A_α is defined, $\{A_\alpha \setminus A_{\alpha+1} \mid \alpha < \omega\}$ is a disjoint collection of sets of positive measure.

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Sublemma: $\forall A, \forall N > 0 \forall \epsilon > 0 \exists B \subseteq A, 0 < \nu(B) < \epsilon$. \square (easy case (split, etc...))

Now, starting with $\kappa = X_0$, def X_s for $s \in \mathbb{Z}^{\omega}$:

- $X_s = X_{s \cdot 0} \perp X_{s \cdot 1}$
- $\nu(X_{s \cdot i}) = \frac{1}{2} \nu(X_s)$. (using lemma).

For $f \in \mathbb{Z}^{\omega}$, let $X_f = \bigcap_n X_{f \upharpoonright n}$.

Then $\nu(X_f) = 0$ for all f .

But $\kappa = \bigcup_f X_f$. So ν is not σ^+ -additive. \blacksquare

Remark: In fact, if $\kappa \in \mathcal{C}$ is R.V.M., then κ is weakly inaccessible, in fact weakly Mahlo, etc κ^{th} weakly Mahlo, etc. . . .

Cor. of Proof: \mathcal{N}_{ν} is δ_1^+ -saturated.

Theorem 2 (Solovay?): $R.V.M.(\kappa) \iff \exists \lambda \geq \omega$ s.t. $\nu^{\mathbb{B}_{\lambda}} \models \exists j: V \xrightarrow{\beta} N, \text{crit}(\beta) = \kappa$

where \mathbb{B}_{λ} is the forcing for adding λ -many random reals.

Specifically, \mathbb{B}_{λ} = Borel subsets of 2^{λ} modulo null sets

where the measure ν is defined as follows:

If $C \equiv \{x \in 2^{\lambda} \mid x \upharpoonright J = z\}$ for J finite, $z \in 2^J$, $\nu(C) = 2^{-|J|}$, etc.
 $\nu(B) \equiv \inf \{ \sum_n \nu(C_n) \mid B \subseteq \bigcup C_n, C_n \text{ cylinder} \}$ for Borel B .

call C a cylinder

Facts: • \mathbb{B}_{λ} is ccc for all λ .

• There is a "probability measure" $\nu: \mathbb{B}_{\lambda} \rightarrow [0,1]$, $\{x\}_{\infty} \mapsto \nu(x)$.

($(\mathbb{B}_{\lambda}, \nu)$ is a measure algebra:

- \mathbb{B}_{λ} is complete
- $\nu(a) = 0 \iff a = 0$ • $\nu(1) = 1$
- ν is σ -additive ($\nu(\sum_n^{\mathbb{B}_{\lambda}} a_n) = \sum_n \nu(a_n)$ when $a_n \cdot a_m = 0$)

• $\exists x_i$ given any probability space (X, \mathcal{P}, μ)
 $\mathcal{P}/\mathcal{N}_{\mu}$ is a measure algebra. \leftarrow

Fact: Any measure algebra is isomorphic to one as above, (as a measure algebra).

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Def: For B a Boolean algebra, let $\tau(B) \stackrel{\Delta}{=} \min \{ |X| \mid X \text{ generates } B \text{ (as a complete algebra)} \}$
 ("generating #")

• Say B is τ -homogeneous iff $\tau(B) = \tau(B/a) \forall a \neq 0$.

Facts: • B_{\aleph_1} is τ -homogeneous, $\tau(B_{\aleph_1}) = \aleph_1$.
 • If B is τ -homogeneous, it is homogeneous (in the forcing sense).

Thm 3 (Maharam): If B is a complete homogeneous measure algebra, then (as a measure algebra) it is isomorphic to exactly one B_{\aleph_1} .

Fact: If $B \leq B_{\aleph_1}$ (i.e. B is a complete subalgebra of B_{\aleph_1}) then $B \cong B_{\aleph_1}$ for some \aleph_1 .

(Prmk: This is false for the product of ω , Cohen reals.)

Proof of (Solovay's) Thm:

(\Rightarrow): Suppose RVM(κ). Let ν be a witness. ~~Now~~
 Since N_{ν} is \aleph_1 -saturated, $\mathcal{P}(X)/N_{\nu}$ is complete, and WMA $\mathcal{P}(X)/N_{\nu} \cong B_{\aleph_1}$ for some \aleph_1 .
 But by saturation of N_{ν} , forcing with N_{ν} adds generic G so that

$$j: V \rightarrow N \cong V[G] \subseteq V[G] \text{ well founded, } \text{crit}(j) = \kappa.$$

So $\Vdash_{B_{\aleph_1}} \exists j: V \overset{\cong}{\rightarrow} N \text{ (crit}(j) = \kappa)$.

(\Leftarrow): Suppose $\Vdash_{B_{\aleph_1}} \exists j: V \overset{\cong}{\rightarrow} N, \text{crit}(j) = \kappa$.

Let $\varphi: B_{\aleph_1} \rightarrow \mathcal{C}_{0,1}$ be the "prob. measure".
 We want, in V , to define a measure on subsets of κ .
 Let $A \subseteq \kappa$, then $\nu(A) \stackrel{\Delta}{=} \varphi(\| \kappa \in j(A) \|)$.
 So $\nu: \mathcal{P}(\kappa) \rightarrow [0,1]$.
 We verify ν is as wanted. \checkmark

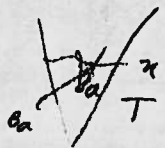
□

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Prmk: So if \mathcal{C} is RVM, γ is a witness and $\mathcal{P}(\mathcal{C})/\mathcal{N}_\gamma$ is homog.
 Then $\mathcal{P}(\mathcal{C})/\mathcal{N}_\gamma \cong \mathbb{B}_\lambda$ some λ .
 Gitik-Shekh have shown $\lambda = \aleph^{\mathcal{C}}$.

Corollary: (Silver) $RVM(\kappa) \Rightarrow$ there are no κ -Aronson trees.

Proof:
 First, κ is weakly inaccessible (look at $j:V \rightarrow N$ in $V^{\mathbb{B}_\lambda}$.
 If $\kappa = \rho^+$, then in N , $j(\kappa) = j(\rho^+) > \rho^+$, then we collapse cardinals, but \mathbb{B}_λ is acc.)
 Work in $V^{\mathbb{B}_\lambda}$. Let T be a κ -tree in V , $T \in \mathcal{N}$.
 Then $j(T) \upharpoonright \kappa = T$. Let $\mu = j(\nu) \upharpoonright \mathcal{N}$ is a $j(\kappa)$ -additive nontrivial measure on $j(\kappa)$.
 For $a \in T$, let $B_a \triangleq \{b \in T \mid a \leq_T b\}$.
 For $c \in j(T)$, let $A_c \triangleq \{b \in j(T) \mid c \leq_{j(T)} b\}$.



Then $\mu(T) = 0$, and μ is $j(\kappa)$ -additive, so for some $a < j(\kappa)$, $a \in j(T) \upharpoonright \kappa$, $\mu(A_a) > 0$.
 Look at $b = \{\beta \mid \beta \leq_T a\}$.
 We argue $b \in V$.



Because: say $b = \{b_\tau \mid \tau \in \kappa\}$.
 $\langle A_{b_\tau} \rangle_\tau$ is decreasing, $\mu(A_{b_\tau})$ is decreasing,
 since $\kappa \geq \omega_1$, $\exists \tau \in \kappa, \forall \rho > \tau, (\mu(A_{b_\rho}) = \mu(A_{b_\tau}))$.



Then $\mu(A_{b_\tau}) = j(\nu(B_{b_\tau}))$ and

if $c \not\leq_T b_\tau$, then either $c \leq b$, $\nu(B_c) = \nu(B_{b_\tau})$
 or $\nu(B_c) = 0$.

So $b = \{c \leq_T b_\tau\} \cup \{c \not\leq_T b_\tau \mid \nu(B_c) = \nu(B_{b_\tau})\} \in V$.



Corr: (Solovay) $RVM(\kappa) \Rightarrow \forall \lambda. V^{\mathbb{B}_\lambda} \models RVM(\kappa)$.

Proof:
 Given λ , let ρ be such that $V^{\mathbb{B}_\rho} \models \exists j: V \xrightarrow{\kappa} N$ crit(j) = κ .
 Let $\kappa > \lambda, \rho, j(\lambda)$. (for all possible values of $j(\lambda)$).
 Then $V^{\mathbb{B}_\kappa} \models \exists j: V \xrightarrow{\kappa} N$, crit(j) = κ .
 (Remember $\mathbb{B}_\rho \leq \mathbb{B}_\kappa$)

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But $B_{\mathcal{A}} = B_{\mathcal{A}} * \dot{B}_{\mathcal{A}}/B_{\mathcal{A}}$

But all quotients of $B_{\mathcal{A}}$ are of the form $B_{\mathcal{B}}$.

$V^{B_{\mathcal{A}}} \models \prod_{B_{\mathcal{B}}} \exists j: V \xrightarrow{\sim} N, \text{cut}(j) = \mathcal{A}$.

The generic G added by $B_{j(\mathcal{A})}$ can be seen as a list $\langle x_{\alpha} \mid \alpha \in j(\mathcal{A}) \rangle$ of random reals.

In $V^{B_{\mathcal{A}} * \dot{B}_{\mathcal{A}}}$, define $j: V[\langle x_{\alpha} \rangle_{\alpha \in \mathcal{A}}] \rightarrow N[\langle x_{\alpha} \rangle_{\alpha \in j(\mathcal{A})}]$
by $j(\tau_{\mathcal{A}}) = j(\tau)_G$.

Thus $V^{B_{\mathcal{A}} * \dot{B}_{\mathcal{A}}} \models \exists j: V^{B_{\mathcal{A}}} \rightarrow N^{j(B_{\mathcal{A}})}$

Fact: Suppose $\varphi(x, y, z)$ is a formula, $t \in \mathbb{R}$,
and $x < y$ is defined by $x < y \iff L(\mathbb{R}) \models \varphi(x, y, t)$.

If \diamond is RVM, then $<$ is not a wellorder.

Sketch:

If in $V^{B_{\mathcal{A}}}$, $j: V \rightarrow N$, then in N , we added random reals. Looking at the random reals, since random is 'homogen', $j(<)$ is not a wellorder in N . □

So if in the presence of $RVM(\diamond)$ we want to define a w.o. of \mathbb{R} ,
"in an easy way", nothing much better than Σ_1^2 is possible.

Fact: let φ be a Σ_1^2 -formula. Then there are ψ, γ
such that $\varphi(x, y, z) \iff \exists M \models ZFC^c, M \text{ transitive}, \mathbb{R} \subseteq M, |M| = \aleph_1,$
 $M \models \psi(x, y, z)$
 $\iff \exists N \models ZFC^c, N \text{ transitive}, {}^\omega N \subseteq N, |N| = \aleph_1,$
 $N \models \gamma(x, y, z)$.

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We are going to give a model with a Σ_2^2 defn of a wo. of \mathbb{R} , of the form:

$$x \leq y \iff \exists N \models \exists FC^{-\epsilon}, \mathbb{R} \leq N, \text{ transitive, } N \models \psi(x, y) \text{ and "some closure properties" hold for } N.$$

(Andrés continues)

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Recall:

Def: κ is RVM iff \exists non-trivial κ -additive prob. measure ν on $\mathcal{P}(X)$.

Thm: $RVM(\kappa) \iff \exists \lambda \geq \omega$ s.t. $V^{B_\lambda} \models [\exists j: V \xrightarrow{j} N \text{ cont}(j) = \kappa]$.
(B_λ forcing for adding λ random reals)

Pf: (\implies) Let \mathcal{I}_ν the null ideal, then (WMA) $B_\lambda \cong \mathcal{P}(X) / \mathcal{I}_\nu$.

Let G be generic for forcing with B_λ .

Claim: $\mathbb{R}^N = \mathbb{R}^{V[G]}$, ($N = \text{ult}(V, G)$).

Pf: let $\langle b_n \in V \rangle_{n \in \omega} \in V[G]$.

Then $\langle j(b_n) \rangle_n \in N$, (which clearly gives result).

To see that it holds, let $\langle \dot{b}_n \rangle_{n \in \omega} \in V$ be a name for the sequence.

For each n , let A_n an maximal antichain, (so $|A_n| \leq \delta_{\lambda_0}^n$).

Say $A_n = \{ a_{\dot{\alpha}}^n \mid \alpha \text{ possible value} \}$, $a_{\dot{\alpha}}^n \Vdash \dot{b}_n = \check{\alpha}$.

WMA each $a_{\dot{\alpha}}^n \leq \kappa$, and $\alpha \neq \beta \implies a_{\dot{\alpha}}^n \cap a_{\dot{\beta}}^n = \emptyset$.

Let $f: \kappa \rightarrow V$ be given by

$f(\alpha) = \text{unique } \gamma \text{ s.t. } \alpha \in a_{\dot{\gamma}}^n$

Then f_n is def'd a.e. and $a_{\dot{\alpha}}^n \Vdash [f_n] = [c_{\alpha}]$

so $\Vdash [f_n] = [c_{b_n}]$.

So $\langle [f_n] \rangle_n = \langle [c_{b_n}] \rangle_n = \langle j(b_n) \rangle_n$.

but $\langle [f_n] \rangle_n = j(\langle f_n \rangle_n) [id]$. *claim

(so in fact $\omega_N \leq N$:

if $\langle \dot{a}_n \rangle_n \in V$ (in $V[G]$),

then for n , let $g_n \in V$ s.t. $(g_n)_n \in V$ and $x_n = [g_n]$.

Then $\langle j(g_n) \rangle_n \in N$ and thus $\langle j(g_n) \rangle_n [id] = \langle x_n \rangle_n \in N$ *cor

Corollary: If φ is a fmla, $\vec{z} \in L(\mathbb{R})$, \triangleleft is RVM, and \triangleleft is def. on \mathbb{R} by: $x \triangleleft y \iff L(\mathbb{R}) \models \varphi(x, y, \vec{z})$.

Then \triangleleft is not a wellorder of \mathbb{R} .

Pf: o.w. go to $V[G]$, we have $j: V \xrightarrow{j} N \text{ cont}(j) = \triangleleft$, and $\mathbb{R}^N = \mathbb{R}^{V[G]}$. By elementarity, " $L(\mathbb{R}^{V[G]}) \models \varphi(\cdot, \cdot, j(\vec{z}))$ "

wellorders $\mathbb{R}^{V[G]}$, but G is generic for B_λ (some λ) which is homogeneous, so this cannot happen.

(WMA $j(\vec{z}) = \vec{z}$.) *cor

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"(So Σ_1^2 seems the weakest possible candidate for the complexity of a w.o. of \mathbb{R} if \mathbb{Q} is RVM.)"
("otherwise you're just splitting hairs")

Recall: φ is Σ_1^2 iff φ is equiv. to a formula of the form $\exists M \text{ transitive}, \bar{M} = \emptyset, R \subseteq M, M \neq \emptyset$.

Say we want φ to define a wellorder, (so we want " $M \neq \emptyset$ " to def. wa)
But if M changes, " $M \neq \emptyset$ " may change its meaning.

So we would like to add restrictions on what M is, so that the meaning does not change.

Thm 0: Let κ be measurable. Then there is a forcing extn where $\kappa = \aleph_1$ is RVM and there is a Σ_2^2 w.o. of \mathbb{R} .

Proof: (WMA GCH holds below κ).

Let $\mathbb{Q} = \text{Ib}_\kappa$. Let \mathbb{P} be the Easton support product of \mathbb{P}_α , \aleph_1 -inaccessible.
Each \mathbb{P}_α is the inaccess product (new) of $\text{Add}(\delta^{+1+2n}, \delta^{+3+2n})$

We are going to look for an inner model of $V^{\mathbb{P} \times \mathbb{Q}}$

(we intend eventually to code each real by an inaccessible δ and the sequence of generics for $\text{Add}(\delta^{+1+2n}, \delta^{+3+2n})$, $n \in \mathbb{N}$).

Let $j: V \rightarrow N$ be given by a normal uf on κ .

Claim 1: \mathbb{P} preserves measurability of κ .

In fact, there is $G^* \in V$ s.t. for any $G_{\mathbb{P}}$, \mathbb{P} -gen/V, $G_{\mathbb{P}} \times G^*$ is $j(\mathbb{P})$ -generic over N and j lifts to $j: V[G_{\mathbb{P}}] \rightarrow N[G_{\mathbb{P}}][G^*]$.

Proof:

By elementarity, $j(\mathbb{P})$ is the Easton product of $\mathbb{P} \times \prod_{\alpha \in \mathbb{N}} \{ \mathbb{P}_\alpha \mid \alpha \in \mathbb{N}, j(\aleph_1) \text{ inaccessible} \}$ in N .

\mathbb{P}_{tail} is κ^+ -closed in N , and

$$|\mathbb{P}(\mathbb{P}_{\text{tail}})^n| = |(2^{\aleph_1})^n| = |j(2^{\aleph_1})^n| \leq (2^{\aleph_1})^{\aleph_1} = \kappa^+$$

So (in V) there are only κ^+ -many dense subsets of \mathbb{P}_{tail} which belong to N . But κ^+ -closed, so

define G^* as the filter generated by a decreasing sequence which meets each dense set.

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To finish, it suffices to see G_P is \mathbb{P} -generic over $N[G^*]$.

But $N[G^*] \subseteq V$.

Now lift \dot{g} as usual:

$$j(\dot{\tau}_{G_P}) = \dot{j}(\dot{\tau})_{G_P \times G^*}$$



Since \mathbb{P} is ω_1 -closed, $\mathbb{R}^{V[G_0]} = \mathbb{R}^{V[G_P][G_0]}$, where G_0 is \mathbb{Q} -gen.

Let $A \subseteq \kappa$ code a w.o. of $\mathbb{R}^{V[G_0]}$ (in $V[G_0]$),

Let $(\alpha_i)_{i \in \mathbb{N}}$ be inaccessible $< \kappa$ in increasing order.

Let $(\alpha_i)_{i \in \mathbb{N}}$ G be $\prod \alpha_i$, where G_α is defined from $G_P \upharpoonright [\delta_\alpha, \delta_{\alpha+1})$ given by $\alpha \in \tau_\alpha \Rightarrow G$ includes the $G_{P, \delta_\alpha + \tau_\alpha}$ generic.

Claim 2: κ is RVM in $V[G_0][G]$.

Pf: we need, for some λ , to find in

$$V[G_0][G]^{\mathbb{B}_\lambda} \text{ an elementary embedding } \uparrow : V[G_0][G] \xrightarrow{\cong} M, \text{crit}(\uparrow) = \kappa.$$

look at $j: V \rightarrow N$.

In N , look at $j(\mathbb{Q})/\mathbb{Q}$. This is $\cong \mathbb{B}_{j(\kappa)}$.

Let H be generic for $V[G_P][G_0]$ for \uparrow .

We have $G_0 * H$ is N -gen for $j(\mathbb{Q})$.

If we can find a $j(\dot{g})$ in $V[G_0][G][H]$ which "plays in $N[G_0 * H]$ the role of \dot{g} " we are done.

(Since κ is measurable in $V[G_P]$, in $V[G_P][G_0][H]$ we have an embedding

$$j: V[G_P][G_0] \rightarrow N[G_P][G^*][G_0][H]$$

(as κ is RVM in $V[G_P][G_0]$ and claim 1)

we want to see $j \upharpoonright V[G_0][G]$ is definable in $V[G_0][G][H]$.

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We know what $f(g)$ is: $f(g) = g \hat{=} g_{tail}$,
 where g_{tail} is defined from G^* (as g was)
 and $f(A)$. (not all of $G_{IP} * G^* = \vec{0}(G^*)$.)
 (since $f: V[G_0] \rightarrow N[G_0][\#]$, $f(A) = f \upharpoonright_{V[G_0]}(A)$).

(x is RVM)

Now all that is left is to see A (or rather the w.o. given by A)
 can be computed in $V[G_0][g]$ via Σ_2^2 w.o.

Def: Let λ be regular. The club base number for λ
 is $\min \{ \bar{x} \mid x \subseteq P(\lambda) \text{ s.t. } \forall \text{ club } C \subseteq \lambda \exists D \in x (D \subseteq C) \} \stackrel{!}{=} \mathfrak{g}_\lambda$.

So by GCH, in V , $\mathfrak{g}_\lambda = \lambda^+$ for all $\lambda < \kappa$ reg.

For some λ , in $V[G_0][g]$, we added λ^{++} Cohen subsets,
 and their closures are club subsets of λ with no
 club subset in V .

So by mutual genericity, $\mathfrak{g}_\lambda^{V[G_0][g]} = \lambda^{++}$, (and λ^+ for other λ)

So now we define the w.o.:

$$\left[\begin{array}{l} x \leq y \text{ iff} \\ \exists M \text{ transitive, } IR \in M, \bar{M} = \bar{c} \text{ s.t. } P_{\leq \theta}(M) \subseteq M \text{ and} \\ \text{in } M, x \text{ appears before } y \text{ in the coding given by } \mathfrak{g}_\lambda. \end{array} \right]$$

Note this is actually weaker than Σ_2^2 ⊠

Thm: (Woodin) If $V = L[M]$, then there is a forcing
 extension where the measurable of V is \leq ad RVM and
 there is a Σ_1^2 w.o. of IR.
 (similar argument).

Question: Can we improve (in general) the w.o. to Σ_1^2 ?

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Thm: (Kunen ??) Let κ be measurable. There is a forcing extension of V where κ is not measurable but measurability is resurrected by a further forcing which does not add any new subsets to κ .

(Fitzik has an argument for this result when at the end, $\kappa = \aleph_1$ RVM; at least when $V = L[G_{\aleph_1}]$).

